



Functional Behavior Assessment Review Tool

First and last name of Behavior Analyst who authored document(s):			
First and last name of the Supervising Behavior Analyst completing review:			
Date review completed			
Category of document:		Initial	Update
Type of review(s) being completed (indicate with "X"):		Initial Functional Behavior Assessment	Reassessment
First and last name of Client:			
<p>Directions: The items required for each type of document are listed below in the column titled "Requirement". Complete the review by referring to the document submitted for review and provide a score of "1" for that item if it is present, a "0" if it is not present, or "NA" if it does not pertain to the document being reviewed. See the section titled "Score" at the end of this document for scoring directions.</p>			
<u>Item</u>	<u>Requirement</u>	Compliance Rating <small>1=yes 0=no</small>	<u>Comments</u>
	References: The Code = BACB Professional and Ethical Compliance Code Behavior Analysis Certification Board (BACB) Applied Behavior Analysis Treatment of Autism Spectrum Disorder: Practice Guidelines for Healthcare Funders and Managers (2 nd ed.). 2014. Retrieved from https://www.bacb.com/wp-content/uploads/2017/09/ABA_Guidelines_for_ASD.pdf	FBA/RA	
1	The client's first and last name is stated.		
2	The client's date of birth is provided.		
3	The client's address and at least one phone number is stated.		
4	The first and last name of the client's parent is stated.		
5	The client's funding source is stated.		
6	The name of the referring provider [PCM] is stated.		
7	The name and credentials of the Behavior Analyst are stated.		
8	The Behavior Analyst's phone number, email address, and fax are provided.		
9	The date and time the Initial FBA/RA was completed is provided.		

Item	Requirement	FBA/RA	Comments
10	The date and time the FBA/RA was completed is stated.		
11	The reassessment is dated as being conducted within the six-month reassessment period.		
12	There is a section titled "Reason for Referral".		
13	In the section titled "Reason for Referral" the need for the assessment is provided as well as the purpose of the assessment.		
14	There is a header called "History".		
15	In the section titled "History" there is a brief statement about the information contained in this section and how it was obtained.		
16	There is a subsection titled "Current Condition."		
17	In the subsection titled "Current Condition" information is provided that clearly describes the client's family living status, details about the client, and a description of who they are.		
18	There is a subsection titled "Medical".		
19	In the subsection titled "Medical" information is provided that clearly describes the client's medical co-morbidities, and includes prescribed medications if applicable.		
20	There is a subsection titled "Diagnosis".		
21	In the subsection titled, "Diagnosis" information is provided that clearly describes the client's diagnoses, including a summation of the description of symptoms observed by the diagnostician during the assessment.		
22	There is a subsection called "Number of hours receiving other support services".		
23	In the subsection titled, "Number of hours receiving other support services", the number of hours per week of occupational, physical, and speech therapy are provided.		
24	There is a subsection called "Details about previous ABA therapy".		
25	In the subsection titled, "Details about previous ABA therapy" information is provided that clearly describes the client's total duration of ABA services since client's initial diagnosis qualifying him/her for ABA Therapy.		
26	There is a subsection called "Record Review".		

Item	Requirement	FBA/RA	Comments
27	In the subsection titled, "Record Review", there is a description and list of documents reviewed in preparation of the ABA Assessment (e.g. prior FBAs, diagnostic evaluations, IEPs).		
28	There is a header called "Functional Behavior Assessment".		
29	In the section titled "Functional Behavior Assessment", there is a brief statement about the information contained in this section and how it was obtained.		
30	There is a subsection titled "Interview Results".		
31	In the subsection titled "Interview Results", information obtained from discussions with the parents/caregivers is presented detailing their observations of the problem behavior(s), it's antecedents, consequences, frequency, severity, and duration.		
32	There is a subsection titled "Direct Observation Results".		
33	In the subsection titled "Direct Observation Results", information is presented that was obtained from direct observation of problem behavior(s), it's antecedents, consequences, frequency, severity, and duration.		
34	There is a subsection titled "Graph".		
35	In the subsection titled "Graph", there is a description of the information presented that discusses the data presented.		
36	There is a subsection appropriately titled that contains results from an evidence based tool used to determine the likely function of the problem behavior(s).		
37	In the subsection appropriately titled, there is a description of the evidence based tool used, who the informant(s) was/were, the results, and an interpretation of the results.		
38	There is a header titled "Functional Hypothesis."		
39	In the section titled "Functional Hypothesis", there is a brief statement about the information contained in this section, how it was obtained, and a functional hypothesis for each problem behavior discussed in the assessment.		
40	There is a subsection titled "Functional Replacement Behavior".		
41	In the section titled "Functional Replacement Behavior", there is a replacement behavior addressing the function of the problem behavior(s).		
42	There is a header titled "Preference Assessment".		

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43	In the section titled "Preference Assessment", a description of the type of assessment was used, the informant(s), and the results of the assessment is provided.		
44	There is a header titled "Standardized Assessment".		
45	In the section titled "Standardized Assessment", a description of the assessment, the information used, the informant(s), and the results of the assessment is provided.		
46	There is a header titled "Skills Assessment".		
47	In the section titled "Skills Assessment", a description of the type of assessment was used, the informant(s), and the results of the assessment is provided. If possible, results are also depicted on a table or graph.		
48	There is a header titled "Intervention Procedures".		
49	In the section titled "Intervention Procedures", a description of the interventions used and their rationale for being selected.		
50	There is a header titled "Client Participation Status".		
51	In the section titled "Client Participation Status", there is a statement indicating the client is able to actively participate in ABA as observed by the Behavior Analyst.		
52	There is a header titled "Content Schedule of ABA Services".		
53	In the section titled "Content Schedule of ABA Services", there is a description of the session content, how the time will be used, and how long each component of the session will last.		
54	The reassessment contains the most up to date information in the History, Details about Previous ABA Therapy, Functional Behavior Assessment, Functional Hypothesis, Preference Assessment, Skills Assessment, Client Participation Status, and Content Schedule of ABA Services sections.		
55	There is a header titled "Treatment Goals and Objectives".		
56	In the section titled, "Treatment Goals and Objectives", goals and objectives are written in observable and measurable terms, and are designed to remediate the symptoms associated with the diagnosis.		
57	In the section titled, "Treatment Goals and Objectives", each objective contains a description of the client's baseline performance.		
58	The reassessment details progress toward each goal and objective for the identified utilizing either graphic representation of the client's progress or an objective measurement tool consistent with the baseline assessment.		

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59	There is a subsection called "Parent Goals".		
60	In the section titled, "Parent Goals", goals and objectives are written in observable and measurable terms, and reflect the skills parents will acquire to promote generalization of the interventions detailed in the Functional Behavior Assessment.		
61	The reassessment includes documentation of family member/caregiver engagement and implementation of the interventions at home/clinic/community. Reasons for lack of/inability for parental involvement is documented.		
62	There is a header titled "Stepdown Plan".		
63	In the section titled "Stepdown Plan", procedures for decreasing the frequency, intensity, and generalization of treatment detailed.		
64	There is a header titled "Service Projection".		
65	In the section titled "Service Projection", an estimate and rationale of how long services will occur is provided.		
66	There is a section titled "Behavior Intervention Plan".		
67	In the section titled "Behavior Intervention Plan", there is a statement about whether or not a Behavior Intervention Plan is warranted.		
68	There is a header titled "Recommendations".		
69	In the section titled "Recommendations", the frequency and intensity of services is detailed, and any other suggestions pertaining to treatment are provided.		
70	There is a section titled "Discharge Criteria".		
71	In the section titled "Discharge Criteria", specific parameters for ending services are provided. These include but are not limited to any other additional assessments that should be used, mastery criteria for goals, criteria from the Professional and Ethical Compliance Code, and stipulations indicated in the funding source contract.		
72	The Functional Behavior Assessment contains the signature of the Behavior Analyst as well as the date it was completed.		
73	The reassessment is dated as being conducted within the six-month reassessment period.		

		Score	
Score	(A) Write the total number of rows that contained a score of "1"		
	(B) Write the total number of rows that contained a score of either "1" or "0"		
	(C) Divide the number in (A) by number in (B). Change this number into a percentage by moving the decimal point two places to the right. Passing Criterion is 100%		

Findings	Name of Document Reviewed	Results of Review
		<input type="checkbox"/> Met passing criterion <input type="checkbox"/> Did not meet passing criterion <input type="checkbox"/> Requires no revisions <input type="checkbox"/> Requires additional revisions stated below:
Remarks		